

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

TPP 31435

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
10/031,170INTERNATIONAL APPLICATION NO.
PCT/GB00/02825INTERNATIONAL FILING DATE
21 July 2000PRIORITY DATE CLAIMED
21 July 1999

TITLE OF INVENTION

ANTISEPTIC COMPOSITION

APPLICANT(S) FOR DO/EO/US

CLARKE, Paul Douglas

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.101)

10/031,170

INTERNATIONAL APPLICATION NO.

PCT/GB00/02825

ATTORNEY'S DOCKET NUMBER

TPP 31435

24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$0.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	- 20 =	0	x \$18.00
Independent claims	- 3 =	0	x \$84.00

\$0.00

\$0.00

Multiple Dependent Claims (check if applicable). ☐

\$0.00

TOTAL OF ABOVE CALCULATIONS =

\$0.00

☐ Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

\$0.00

SUBTOTAL =

\$0.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00

TOTAL NATIONAL FEE =

\$0.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

\$0.00

TOTAL FEES ENCLOSED =

\$0.00

Amount to be:

\$

charged

\$

- a. ☐ A check in the amount of _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-4375. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Thomas P. Pavelko, Esquire
 STEVENS, DAVIS, MILLER & MOSHER, L.L.P.
 1615 L Street, N.W., Suite 850
 Washington, D.C. 20036
 Telephone: (202) 785-0100
 Facsimile: (202) 408-5200 or (202) 408-5088

SIGNATURE

Thomas P. Pavelko

NAME

31.689

REGISTRATION NUMBER

February 4, 2002

DATE



JO Rec'd PCT/PTO 12 APR 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Paul Douglas CLARKE

Attn: Applications

Serial No.: 10/031,170

Filed: January 17, 2002

For: ANTISEPTIC COMPOSITION

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 37 CFR §371

Honorable Commissioner of
Patents and Trademarks
Washington, D. C. 20231

Sir:

In response to the Notification of Missing Requirements mailed April 1, 2002, applicants respectfully direct the Office's attention to the enclosed copies of the executed Declaration for Patent Application for the above-identified application which was filed on February 4, 2002. A copy of the date-stamped docket sheet, reflecting receipt of said document by the Patent Office on said date is also enclosed.

As the government fees were previously paid, no fee should be due at this time. However, if any fee is necessary, it may be charged to the undersigned's deposit account number 19-4375.

Respectfully submitted,

TPP/mat
Attorney Docket No.: TPP 31435

Thomas P. Pavelko
Registration No. 31,689

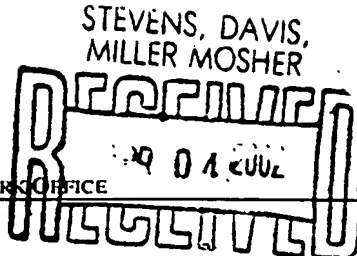
STEVENS, DAVIS, MILLER & MOSHER, L.L.P.
1615 L Street, N.W., Suite 850
Washington, D.C. 20036
Telephone: (202) 785-0100
Facsimile: (202) 408-5200 or (202) 408-5088

Date: April 12, 2002

RECEIVED
JUN 17 2002
TECH CENTER 1600/2900



UNITED STATES PATENT AND TRADEMARK OFFICE


 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/031,170	Paul Douglas Clarke	TPP 31435

INTERNATIONAL APPLICATION NO.

PCT/GB00/02825

I.A. FILING DATE

07/21/2000

PRIORITY DATE

07/21/1999

Thomas P Pavalko
 Stevens Davis Miller & Mosher
 1615 L Street NW
 Suite 850
 Washington, DC 20036

CONFIRMATION NO. 4789

371 FORMALITIES LETTER



OC00000007741656

Date Mailed: 04/01/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

RESPONSE DUE 6/1/02
 DOCKETED DATE 4/5/02
 BY 20

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed

to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 305-3659

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,170	PCT/GB00/02825	TPP 31435

FORM PCT/DO/EO/905 (371 Formalities Notice)

FILE COPY

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.
1615 L Street, N.W., Suite 850
Washington, D.C. 20036

THE PATENT AND TRADEMARK OFFICE OFFICIAL FILING DATE
STAMP HEREON IS ACKNOWLEDGMENT OF FILING:

<input type="checkbox"/> Preliminary Amendment	<input checked="" type="checkbox"/> Trans. Letter
<input type="checkbox"/> Amendment	<input type="checkbox"/> Pages of Specification
<input type="checkbox"/> Supplemental Amendment	<input type="checkbox"/> Claims
<input type="checkbox"/> Election Requirement	<input checked="" type="checkbox"/> Declaration/Power of Attorney
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Abstract of the Disclosure
<input type="checkbox"/> Form PTO-1449 (2 Refs.)	<input type="checkbox"/> Drawings -
<input type="checkbox"/> Certified Priority Document	<input type="checkbox"/> Small Entity
<input type="checkbox"/> Formal Drawings	
<input type="checkbox"/> Assignment Document	
<input type="checkbox"/> Form PTO-1595	
<input type="checkbox"/> Request for Extension of Time	
<input type="checkbox"/> Request for Consideration	
<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Small Entity Declaration	<input type="checkbox"/> Check No.
<input type="checkbox"/> Issue Fee Transmittal (PTOL-85B)	
<input type="checkbox"/> Request for Corrected Filing Receipt	
<input type="checkbox"/> Submission of Declaration	
<input type="checkbox"/> Other:	

APPLICANT: Paul Douglas CLARKE
APPLICATION NO.: 10/031,170
TITLE: ANTISEPTIC COMPOSITION
DOCKET NO: TPP 31435
DATE: February 4, 2002



DOCKETED
By 29P on 2/5/02

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JUN 17 2002

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